



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Xinming WANG et al.

Serial No. 10/803,949

Filed March 19, 2004

:

: **Confirmation No. 7167**

: **Mail Stop: MISSING PARTS**

: **Attorney Docket No. 2004\_0441A**

SUBSTRATE PROCESSING METHOD  
AND SUBSTRATE PROCESSING APPARATUS

**REPLY TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is responsive to the Notice of Incomplete Reply dated September 24, 2004.

The enclosed Notice indicates that the specification and drawings filed on September 3, 2004 were not received in the U.S. Patent and Trademark Office.

Applicants, however, contend that the specification and drawings were in fact deposited in the USPTO on September 3, 2004. Enclosed herewith is evidence that the complete specification (50 pages), which includes the claims and abstract, and (Figs. 1-11) were in fact deposited on September 3, 2004. Evidence of such deposit is enclosed herewith and includes an itemized postcard which bears the PTO date stamp of September 3, 2004 acknowledging receipt of the specification and drawings.

A copy of the specification and drawings, which were submitted on September 3, 2004, are enclosed.

In view of the above, it is submitted that the attachments to this petition are conclusive evidence that the complete specification, i.e. the specification, claims, abstract, and drawings were in fact deposited in the U.S. Patent and Trademark Office with the application papers. Accordingly, it is requested that receipt of the complete specification and drawings be acknowledged, the filing date of September 3, 2004 be granted

Respectfully submitted,

Xinming WANG et al.

By: 

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September 27, 2004

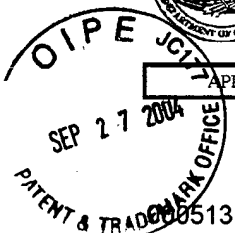
IFW



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/803,949	03/19/2004	Xinming Wang	2004_0441A



WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

RECEIVED  
SEP 27 2004  
WENDEROTH, LIND & PONACK

CONFIRMATION NO. 7167

FORMALITIES LETTER



\*OC000000013895107\*

Date Mailed: 09/24/2004

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/03/2004 to the Notice to File Missing Parts (Notice) mailed 06/04/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 11:

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents

P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in cursive script, appearing to read "Alice Passante", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ATTY DOCKET #: 2004\_0441A

Confirmation No. 7167

OUR REF: 2004\_0441A/MSH/01213

Applicant: Xinming WANG et al.

Serial No.: 10/803,949

Title: SUBSTRATE PROCESSING METHOD AND SUBSTRATE PROCESSING APPARATUS

Due Date: September 4, 2004

SEP 27 2004

Filing Date: March 19, 2004

SEP 03 2004

Receipt of the following papers is acknowledged:

(2) PTO Fee Transmittal Forms

Petition for Extension of Time

Additional Claims Fee Transmittal Letter

Reply to Notice to File Missing Parts of Application

Copy of Notice.....

Executed Declaration and Power of Attorney

Translator's Declaration (1 page); Verified English translation comprising of specification, claims and abstract (50 pages); Replacement drawings (Figs. 1-11; 9 sheets)

Claim of Priority under 35 USC 119 w/(1) certified copy of Japanese Appln No.2003-78485

Check in the amount of \$550.00

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Date: September 3, 2004

Attorney: MSH/kjf

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